Series 99 Exam: The Operations Professional Qualification Examination Prep Software

Description: The go-to guide to acing the Series 99 Exam!

Passing the Operations Professional Qualification Exam (Series 99) qualifies an individual to perform a variety of operations functions in support of a broker-dealer. The exam covers the broker-dealer business at a fundamental level, standard operations functions, investor protection and market integrity regulations, identifying and escalating regulatory red-flag issues to the appropriate person in a firm, and professional conduct and ethical standards. There are no prerequisites for the Series 99 Exam, but all candidates must be sponsored by a FINRA member firm.

Take practice tests anytime, anywhere using any PC, Mac, Phone or Tablet. Create your own randomized quizzes - YOU select the topics, number of questions, how and when answers are revealed to you. Randomized finals let you know when you are ready to take the test. With over 1000 questions the series 99 exam prep software is a must have product to ensure you have mastered the knowledge and are ready to pass your series 99 exam.

* Works with all major browsers and mobile devices.

Contents: See free downloads for sample questions and software screenshots.

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