The Importance of Board-Level Oversight of a Company's Compliance Program

Description: This session will discuss the need for a company's Board of Directors to be actively engaged in the oversight of the development, implementation, and evaluation of the effectiveness of a company's Corporate Compliance Program. Guidance from the Officer of Inspector General and parallels between OIG expectations and Audit Committee requirements put in place by Sarbanes-Oxley will be discussed as we explore evolving expectations of the appropriate Compliance Program oversight role of their Board.

Objectives of the Presentation

Understand the following:

- OIG Guidance for Boards of Directors on Compliance Oversight
- Corporate Integrity Agreement requirements on Board Oversight Responsibilities
- Parallels with Sarbanes Oxley Audit Committee Requirements
- Board Reliance on Independent Compliance Expertise
- Board Interactions with the Chief Compliance Officer
- Impact of Shareholder Derivative Lawsuits

Why Should you Attend:

It is essential that life sciences companies effectively manage the risks of commercializing a product in a highly regulated environment. Managing risk is the hallmark of an effective Corporate Compliance Program. Over the past several years, the Department of Justice and the Office of Inspector General have recovered billions of dollars from companies accused of violating the Food, Drug and Cosmetic Act, the Anti-Kickback Statute, and the False Claims Act. With its release last year of the Yates Memo, the DOJ upped the ante on the prosecution of individuals within companies. Prosecutions of individuals - including C-suite executives - for bad acts have increased and these acts can jeopardize a company's operations and its reputation. The Board of Directors must understand its role in the oversight the company's Corporate Compliance Program so that it can ensure that the company is taking appropriate steps to protect the company and its personnel.

Who can Benefit:

- Members of healthcare company Boards of Directors
- Outside counsel advising Boards of Directors
- Chief Compliance Officers and their staff
- Chief Risk Officers and their staff
- General Counsel / healthcare compliance legal counsel
- Senior management with Board reporting responsibilities
- Enterprise risk management personnel

Topic Background:

This webinar will provide insight into the role that should be played by a company's Board of Directors in overseeing the development, implementation, and evaluation of the effectiveness of the company's Corporate Compliance Program.

Contents:

- Overview of OIG Guidance for Boards Of Directors on Compliance Oversight
- Highlighting CIA requirement
- Demonstrating parallels with Sarbanes Oxley Audit Committee requirements
- Discussing importance of independent compliance expertise
- Exploring how the Board and the Chief Compliance Officer should interact
- Exploring the role of shareholder suits in holding companies accountable
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